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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/809,535         | 03/15/2001          | Hubert Reinisch       | 4965-000115            |

CONFIRMATION NO. 4505

27572  
HARNESS, DICKEY & PIERCE, PLC  
5445 CORPORATE DRIVE, SUITE 400  
TROY, MI 48098

## FORMALITIES LETTER



\*OC000000005991641\*

Date Mailed: 04/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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130.60 02

Please type a plus sign (+) inside this box → ☐

Handwritten: 03/21 based on PTO/SB/21 (08-00)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |             |
|---|-----------------------------|-------------------------------|-------------|
| <b>Application Number</b>                       | 09/809,535                  |                               |             |
|   | <b>Filing Date</b>          | March 15, 2001                |             |
|   | <b>First Named Inventor</b> | Hubert Reinisch               |             |
|   | <b>Group Art Unit</b>       |                               |             |
|   | <b>Examiner Name</b>        |                               |             |
| <b>Total Number of Pages in This Submission</b> |                             | <b>Attorney Docket Number</b> | 4965-000115 |

## ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>Verification Of Accuracy Of Translation (37 C.F.R. 1.52(d)(1)), including English-language translation of German-language filed application; copy of Notice To File Missing Parts Of Nonprovisional Application; and postcard</b> |
| <b>Remarks</b>  |  | <b>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.</b>   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

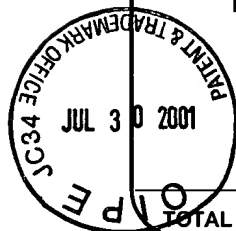
|                                |                                  |                      |                      |                 |       |
|--------------------------------|----------------------------------|----------------------|----------------------|-----------------|-------|
| <b>Firm or Individual name</b> | Harness, Dickey & Pierce, P.L.C. | <b>Attorney Name</b> | Christopher M. Brock | <b>Reg. No.</b> | 27313 |
| <b>Signature</b>               |                                  |                      |                      |                 |       |
| <b>Date</b>                    | July 19, 2001                    |                      |                      |                 |       |

## CERTIFICATE OF MAILING/TRANSMISSION

|   |                      |             |               |
|---|----------------------|-------------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. |                      |             |               |
| <b>Typed or printed name</b>  | Christopher M. Brock |             |               |
| <b>Signature</b>  |                      | <b>Date</b> | July 19, 2001 |

08055605

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/809,535

Filing Date March 15, 2001

First Named Inventor Hubert Reinisch et al.

Examiner Name

Group / Art Unit

Attorney Docket No. 4965-000115

TOTAL AMOUNT OF PAYMENT (\$) 280

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

08-0750

Deposit  
Account  
Name

Harness, Dickey &amp; Pierce, P.L.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     |          |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |          |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |          |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |          |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
|                       | 0               | X                 | 0           |
| Independent<br>Claims |                 |                   |             |
|                       | 0               | X                 | 0           |
| Multiple<br>Dependent |                 |                   |             |
|                       |                 | X                 | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|-------------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  | 130         |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |             |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for reexamination                                     |             |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |             |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |             |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     | 110         |
| 116                            | 390                            | 216                            | 195                            | Extension for reply within second month                                    |             |
| 117                            | 890                            | 217                            | 445                            | Extension for reply within third month                                     |             |
| 118                            | 1,390                          | 218                            | 695                            | Extension for reply within fourth month                                    |             |
| 128                            | 1,890                          | 228                            | 945                            | Extension for reply within fifth month                                     |             |
| 119                            | 310                            | 219                            | 155                            | Notice of Appeal   |             |
| 120                            | 310                            | 220                            | 155                            | Filing a brief in support of an appeal                                     |             |
| 121                            | 270                            | 221                            | 135                            | Request for oral hearing   |             |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |             |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |             |
| 141                            | 1,240                          | 241                            | 620                            | Petition to revive - unintentional   |             |
| 142                            | 1,240                          | 242                            | 620                            | Utility issue fee (or reissue)   |             |
| 143                            | 440                            | 243                            | 220                            | Design issue fee   |             |
| 144                            | 600                            | 244                            | 300                            | Plant issue fee  |             |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |             |
| 123                            | 130                            | 123                            | 130                            | Petitions related to provisional applications                              |             |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |             |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) | 40          |
| 146                            | 710                            | 246                            | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                            | 710                            | 249                            | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                            | 710                            | 279                            | 355                            | Request for Continued Examination (RCE)                                    |             |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |             |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 280)

## SUBMITTED BY

Complete (if applicable)

|                   |                      |                                  |       |           |                |
|-------------------|----------------------|----------------------------------|-------|-----------|----------------|
| Name (Print/Type) | Christopher M. Brock | Registration No. Attorney/Agent) | 27313 | Telephone | (248) 641-1600 |
| Signature         |                      |                                  |       | Date      | July 19, 2001  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.